

VALIDATION POPULATION 6

CLAIMANTS INVOLVED IN STATE UI APPEALS FILED - LOWER

Subpop #	ETA ar5130B Line and Column	2 (Step 1E) (Rule 1) SSN	3 (Step 1E) (Rule 2) Docket Number Unique ID	4 (Step 24A) Appeal Level	5 (Step 25A) (Rule 1) (Step 25B) (Rule 1) Type of Appeal (Single or Multiclient)	6 (Step 25B) (Rules 3 – 6) Number of Claimants	7 (Step 32) Filed Date
LOWER AUTHORITY APPEALS FILED (6.1 through 6.2) 1) Minimum sample: First two cases from each subpopulation							
6.1	200-8	Required	Required	Lower	S		Required
6.2 ^a	200-10	Required	Required	Lower	M	≥ 1	Required

^aStates can list each claimant involved in multiclient appeals or just provide the number of claimants based on how the files are stored in the system.

**RELATIONSHIP BETWEEN SUBPOPULATIONS IN POPULATION 6
AND ETA AR5130B REPORTING CELLS**

SECTION B: Claimants Involved in State UI Appeals Cases by Status of Appeals

Line No.	Status of Appeals	Single-Claimant Appeals	Multi-Claimant Appeals
		Lower Authority (8)	Lower Authority (10)
200	Filed During Month	1	2

VALIDATION POPULATION 7

CLAIMANTS INVOLVED IN STATE UI APPEALS FILED - HIGHER

Subpop #	ETA ar5130B Line and Column	2 (Step 1F) (Rule 1) SSN	3 (Step 1F) (Rule 2) Docket Number Unique ID	4 (Step 24B) Appeal Level	5 (Step 25A) (Rule 1) (Step 25B) (Rule 1) Type of Appeal (Single or Multiclient)	6 (Step 25B) (Rules 3 – 6) Number of Claimants	7 (Step 32) Filed Date
HIGHER AUTHORITY APPEALS FILED (7.1 through 7.2) 1) Minimum sample: First two cases from each subpopulation							
7.1	200-9	Required	Required	Higher	S		Required
7.2 ^a	200-11	Required	Required	Higher	M	≥ 1	Required

^aStates can list each claimant involved in multiclient appeals or just provide the number of claimants based on how the files are stored in the system.

**RELATIONSHIP BETWEEN SUBPOPULATIONS IN POPULATION 7
AND ETA AR5130B REPORTING CELLS**

SECTION B: Claimants Involved in State UI Appeals Cases by Status of Appeals

Line No.	Status of Appeals	Single-Claimant Appeals	Multi-Claimant Appeals
		Higher Authority (9)	Higher Authority (11)
200	Filed During Month	1	2

Population 6 and 7 Notes

1. **Appeal Filed Date:** If a state experiences delays in mailed appeals, it can use the received date rather than the postmark date to ensure that all appeals are counted. The received date can be used because there is no time lapse for these populations. This would help in those situations where appeals are received after the ETA 5130 report has been run for the previous month. For example, an appeal with a postmark of 3/31/02 that is received on 4/10/02, in a state where the ETA 5130 was run on 4/7/02, would be reported as having been filed in April rather than in March.
2. **Subpopulations 6.2 and 7.2, Number of Claimants Involved in Multi-Claimant Appeal:** States will either store an individual record for each claimant involved in the appeal or one record with the number of claimants. The software will count the number of records or add the number of claimants in Column 6 to derive the number of claimants involved in multi-claimant appeals filed.